

ATTACHMENT B

**FEDERAL RESERVE BANK of NEW YORK** *Serving the Second District and the Nation***Primary Dealers**

**Primary dealers are trading counterparties of the New York Fed in its implementation of monetary policy. They are also expected to make markets for the New York Fed on behalf of its official accountholders as needed, and to bid on a pro-rata basis in all Treasury auctions at reasonably competitive prices.**

**LIST OF PRIMARY DEALERS**

CURRENT LIST, ADDITIONS, REMOVALS &amp; NAME CHANGES

PRIMARY DEALERS | ADDITIONS AND REMOVALS | NAME CHANGES

**Primary Dealers**

Amherst Pierpont Securities LLC  
 ASL Capital Markets Inc.  
 Bank of Montreal, Chicago Branch  
 Bank of Nova Scotia, New York Agency  
 BNP Paribas Securities Corp.  
 Barclays Capital Inc.  
 BofA Securities, Inc.  
 Cantor Fitzgerald & Co.  
 Citigroup Global Markets Inc.  
 Credit Suisse AG, New York Branch  
 Daiwa Capital Markets America Inc.  
 Deutsche Bank Securities Inc.  
 Goldman Sachs & Co. LLC  
 HSBC Securities (USA) Inc.  
 Jefferies LLC  
 J.P. Morgan Securities LLC  
 Mizuho Securities USA LLC  
 Morgan Stanley & Co. LLC  
 NatWest Markets Securities Inc.  
 Nomura Securities International, Inc.  
 RBC Capital Markets, LLC  
 Societe Generale, New York Branch  
 TD Securities (USA) LLC  
 UBS Securities LLC.  
 Wells Fargo Securities, LLC

New primary dealers will begin reporting and transacting with the New York Fed upon completion of legal, operational and technical setup.

Historical Primary Dealer Lists [EXCEL](#)

**EXPECTATIONS & REQUIREMENTS**

These expectations supplement those outlined in the Federal Reserve Bank of New York Policy on Counterparties for Market Operations.

SPECIFIC EXPECTATIONS &amp; ELIGIBILITY REQUIREMENTS

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