NO.	Topic	FTA Comments
1	Official Request Letter	Complete – the letter was updated with correct POP – 3 rd
2	Opinion of Counsel	submittal Complete for ARP funds only – 1 st submittal
2	Opinion of Courise	*Please be aware that if/when you decide to be a direct
		recipient for 5307, this process applies again.
3	Authorizing Resolution	Complete for ARP and FY22 5307, will need revised for any other
	G	funding source – 1 st submittal
4	Financial Capacity	Complete – Prior Audit concerns were addressed – 3 rd submittal
	Questionnaire	Question #3 - Need to describe how the County is
		addressing the material findings from your Audits.
		a. 9/15/2023 - The prior information presented to
		FTA showed dates that the audit findings should
		have been resolved (7/2023), but nothing was submitted in this package to show that that was
		accomplished. Please send us that confirmation
		of audit closure.
		i. 12/4/2023 – the 2021 Audit findings are
		resolved as noted in the 7/23 Corrective
		Action Plan based on information
		submitted on 11/17.
5	Current Year Operating	Complete – the budget including ARPA funds for 2024 was
	and Capital Budgets	included in an email on 10/23/2023 – 3 rd submittal
6	Three Years of Single Audit	Complete – in 3 rd Submittal – Audits submittal complete, but
	Reports	information on Material Weaknesses shall be discussed in other submittal information as noted below:
		A plan for resolving the material findings shall be
		submitted as part of your next submittal.
		a. 9/15/2023 - Is there an updated Plan/document
		that resolves the Corrective Action Plan for 2021
		Audit – the one submitted has completion dates
		7/31/2023.
		- #1 What is their plan to get the 2022
		Audit in, in time?
		• 12/4/2023 – Resolved, the 2022
		Single Audit Report was
		submitted to FTA on 10/11/2023.
		- #2 What's the process for resolving accounts payables being recorded
		properly?
		• In 12/15/23 Revised Financial
		Management Administrative
		Procedures document
		- #3 What is the County's process for
		preparing and reviewing that SEFA to
		ensure that expenditures are properly
		reported.

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a. Department level Transit Award and Financial **Management Administrative Procedures** submitted on 11/17/23, updated on 12/15/23 with several fixes. 2. Question #6 – Boulder County shall have policies and procedures which documents how they will oversee contractors and subrecipients and ensure they are in compliance with requirements, such as drug and alcohol testing, Title VI, etc. For example, the City of Boulder's HOP service. Refer to CORTAP Manual. 9/15/2023 – Repeat comment. See CORTAP Manual page 18-1. a. Resolved with 12/15/23 Procedures 3. Question #8 - Please provide details on how Boulder County will specifically conduct maintenance oversight of their contractors. 9/15/2023 – the question references CDOT's Statewide Program for Small Agencies, please provide us a copy of this document. a. Resolved with 12/15/23 Procedures 4. Question #10 - 9/15/2022 - FTA needs to see the final procurement policies and procedures with FTA requirements incorporated and how Boulder County will ensure they are followed. FTA recommends a supplemental FTA Procurement Policies as the current Policies do not reflect FTA requirements, see Procurement Policies section below. a. Minor tweaks emailed on 12/7/2023 – no resubmittal necessary 5. Question #11 - Same comment as for Question #10 need details and final document. 9/15/2023 -Recommend that Boulder County have FTA review the RFPs for services before they are published as current Procurement Policies do not reflect FTA requirements. a. Complete 10 **Organizational Chart** Complete **Procurement Procedures** 11 Complete – Please make required minor edits to Transit Procurement Policies emailed on 12/7/2023 – no resubmittal necessary Title VI Program Plan and 12 Complete **Required Elements** 13 DBE Program Plan (where Complete applicable) DBE Goal (where 14 Complete applicable) **ADA Policies and** 15 Complete **Procedures**

16	EEO Plan (where	Not applicable – Boulder County is below the threshold based on
	applicable)	what has been submitted